

**SOCIALIST REPUBLIC OF VIETNAM**  
**Independence - Freedom - Happiness**



*Gia Lai, 30<sup>th</sup> September, 2021*

**NOTIFICATION**

**of transaction in shares/fund certificate/ covered warrant of internal person and related person of internal person**

- To:
- The State Securities Commission
  - Ho Chi Minh Stock Exchange
  - Hoang Anh Gia Lai Joint Stock Company

1. Information on individual that conducts the transaction

- Name of individual/organization: **Doan Hoang Anh**
- Nationality: Vietnamese
- ID card/passport No. (in case of individual), or Certificate of business registration, working license, or equivalent legal document No. (in case of organization), date of issue, place of issue:
- Contact address/ Head office address:
- Telephone: ..... Fax: ..... Email: .....
- Current position in the public company, the fund management company (if any) or relationship with the public company, the fund management company: No
- Current position in the public company, the fund management company at the date of registration for trading (if any) ..... and the date of no longer being internal person or related person of internal person in the public company, the fund management company: ..... reasons explicitly stated)

*\* This is only for the case that after registering the transaction, the registering trader is no longer internal person or related person of internal person in the public company, the fund management company*

2. Information about internal person of the public company/public fund who is related person of trading individual/organization (*in case trader is related person of internal person of the public company/public fund*):

- Full name of internal person: **Doan Nguyen Duc**
- Nationality: Vietnamese
- ID card/passport No.:
- Residence address:
- Contact Telephone: ..... Fax: ..... Email: .....
- Currently position in the public company, the fund management company: BOD Chairman
- Relationship of the trading individual with the internal person: daughter
- Number, ownership proportion of shares/ fund certificate/ covered warrant held by internal person (if any): 319,950,533 shares accounting for 34.5%

3. Securities code: HAG

Underlying securities code (for covered warrants):

4. Trading accounts with shares/fund certificates/ covered warrants mentioned in section 3:
5. Number, ownership proportion of shares /fund certificates/ covered warrants held before transaction: 4,000,000 shares, accounting for 0.43%  
Number, ownership proportion of underlying securities (stated in section 3) held before trading covered warrants (in case of covered warrants)
6. Number of shares/fund certificates/covered warrants registered to purchase/sell/ give / be given / inherit / transfer / be transferred /swap:
  - Type of transaction registered to purchase/sell/ give / be given / inherit / transfer / be transferred /swap: **purchase**
  - Number of shares/fund certificates/covered warrants registered to trade: 4,000,000 shares
7. Number of shares/fund certificates/ covered warrants traded (purchase/sell/ give / be given / inherit / transfer / be transferred /swap)
  - Type of transaction conducted (purchase/sell/ give / be given / inherit / transfer / be transferred /swap): **purchase**
  - Number of shares/fund certificates/covered warrants traded: 4,000,000 shares
8. Value of transaction traded (by face value): VND 40,000,000 (forty billion Vietnam dong)  
Value of covered warrants (by the latest issue price) **traded**
9. Number of shares/fund certificates/ covered warrants held after transaction by the trader: **8,000,000 shares accounting for 0.86 %**
10. Number, ownership of underlying securities held after trading covered warrants\*
11. Method of transaction: order matching transaction on market
12. Transaction period: **27/09/2021 - 30/09/2021**
13. Reason for incomplete transaction (in case registered number incompletely traded)
  - \* *Number, ownership of underlying securities held at the date of reporting the transaction results*

**Recipients:**

- As above
- Archive

**Reporting individual/organization/  
person authorized to disclose  
information**

*(Signature, full name, seal – if any)*

**DOAN HOANG ANH**