

Ho Chi Minh City, 07th October, 2022

DECISION

Moving the stock from control to the status of warning

**GENERAL DIRECTOR OF THE HO CHI MINH CITY STOCK
EXCHANGE**

Pursuant to the Law on Securities dated November 26, 2019;

Pursuant to Decree No. 155/2020/ND-CP dated 31/12/2020 of the Government specifying the implementation of some articles of the Law on Securities dated 26/12/2019;

Pursuant to Decision No. 02/QĐ-HDTV dated June 30, 2021 of the Members' Council of the Vietnam Exchange on the establishment of the Ho Chi Minh City Stock Exchange;

Pursuant to Decision No. 07/QĐ-HDTV dated 09/7/2021 of the Member Council of the Vietnam Stock Exchange, promulgating the Charter of organization and operation of the Ho Chi Minh City Stock Exchange;

Pursuant to Decision No. 17/QĐ-HDTV dated March 31, 2022 of the Member Council of the Vietnam Stock Exchange on the issuance of the Regulation on Listing and Trading of Listed Securities;

Pursuant to Official Letter No. 6268/UBCK-GSDC dated September 20, 2022 of the State Securities Commission and Official Letter No. 680/SGDVN-GSTT dated September 30, 2022 of the Vietnam Stock Exchange providing guidance on the handle violations for HAG shares;

At the request of the Director of the Listing Management Department,

IT IS HEREBY TO DECIDE THAT

Article 1. Moving stocks of Hoang Anh Gia Lai Joint Stock Company (Stock code: HAG) from control to warning status from 11/10/2022

Reason : *Profit after tax of shareholders of parent company in 2021 is VND 203.03 billion and undistributed profit as of 31/12/2021 is - VND 4,467.1 billion based on 2021 audited consolidated financial statements, falling into the category of warning under Point b, Clause 1, Article 37 and Clause 4, Article 38 of the Regulation on Listing and Trading of Listed Securities issued under Decision No. 17/QĐ-HDTV dated 31/3/2022 of the Member Council of the Vietnam Stock Exchange.*

Article 2. This decision comes into effect from the signing date.

Article 3. The manager of the Trading System Department, the manager

of the Transaction Supervision Department, the manager of the Market Information Department, the manager of the Listing Management Department and Hoang Anh Gia Lai Joint Stock Company are responsible to implement this Decision./

<p><i>Recipients:</i></p> <ul style="list-style-type: none">- As in Article 3- SSC (for report)- Vietnam Exchange (for report)- Archives: office, listing	<p style="text-align: center;">FOR GENERAL DIRECTOR DEPUTY GENERAL DIRECTOR</p> <p style="text-align: center;">TRAN ANH DAO</p>
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